# Identity conditions on ellipsis in Russian nominal constructions with Right Node Raising* 

Kseniia Studenikina, Lomonosov Moscow State University xeanst@gmail.com

THE FIRST THEORETICAL AND EXPERIMENTAL LINGUISTICS WORKSHOP AT KRE, 25-26 OCTOBER 2023
*This research is supported by Russian Science Foundation, RSF project 22-18-00037 realized at Lomonosov Moscow State University, https://rscf.ru/en/project/22-18-00037/ .

## Approaches to Right Node Raising

- Ellipsis: partly phonological deletion of the first conjunct under lexical identity with the second conjunct (1a)
- ATB-movement: a constituted is moved out of both conjuncts of a coordinate structure at once (1b)
- Multidominance: the derivation proceeds in parallel, the shared constituent simultaneously merges with both conjuncts (1c)
(1) a. [John buys (books about synta*)], and [Mary burns books about syntax].
b. [ $\left[\right.$ John buys $t_{3}$ ], and [Mary burns $\left.\mathrm{t}_{3}\right]$ ] books about syntax ${ }_{3}$.
c.

'John buys, and Mary burns, books about syntax.'
= John buys books about syntax, and Mary burns books about syntax..


## Feature identity in RNR

- Ellipsis: features of the elided and the spelled-out constituents may mismatch
- ATB-movement: the constituents in both conjuncts must be morphologically identical
- Multidominance: the feature conflict is resolved in PF
$\checkmark$ determiner mismatch in French

| (2) Ily a | des | langues | qui | ont | une | flexion_casuelle, |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| there_are | INDEF.PL | languages | REL.SBJ | have | INDEF | inflection case |
| et des | langues qui | $n^{\prime}$ | ont | pas, | de | flexion casuelle. |
| and INDEF.PL | languages REL.SBJ | NEG | have | NEG | PART | inflection case |

'There are languages that have and languages that don't have case inflection.'
$X$ case identity in Russian

| (3) *Oni ne | izbegali | etih razgovorov, | a, | naoborot, |
| :--- | :--- | :--- | :--- | :--- |
| they NEG | avoid.PST.PL | this.PL.GEN conversation-PL.GEN | but | on_the_contrary |

'They did not avoid, but, on the contrary, supported these conversations.'

## Nominal Right Node Raising construction

(4) This tall and that short student are a couple.

- Ellipsis: the deletion is phonological so the feature mismatch of the elided and the spelled-out nouns is possible (5a)
- Multidominance: in PF the Value operation will choose the linearly closer value to be copied to the noun pivot (5b)
(5) a. one tall $\langle$ student〉 and ten short students

$\mathrm{b}^{\prime}$.



## Russian nominal constructions with Right Node Raising

The noun demonstrates number variation:
(6) vysok-ij i nizk-ij student/ student-y tall-SG and short-SG student.SG / student-PL
'the tall and the short student'

- Different syntactic structure (Kodzasov 1987)
- ellipsis of the singular noun in the first conjunct vs. merge of two singular adjectives with one plural noun
- LFG analysis (Belyaev et al. 2015)
- the CONCORD features may be distributive or non-distributive which causes number variation
- Multidominant structure (Studenikina 2022)
- the noun copies [SG] features from the number head in both conjuncts or receive [PL] feature through feature arithmetic [SG+SG]

The goal: examine the feature identity for the noun pivot in Russian NRNR

## The possibility of the number feature conflict

Number features match:

- both conjuncts are singular (7a) or both conjuncts are plural (7b)

| (7) a. bol'sh-oj | stol | i | malen'k-ij | stol | 'a big and a small table' |
| :---: | :--- | :--- | :--- | :--- | :--- |
| big-SG.NOM | table.SG.NOM | and | small-SG.NOM | table.SG.NOM |  |
| b. bol'sh-ie | stot-y | i | malen'k-ie | stol-y | 'big and small tables' |
| big-PL.NOM | table-PL.NOM | and | small-PL.NOM | table-PL.NOM |  |
|  |  |  |  |  |  |

Number features mismatch:

- the first conjunct is singular, the second one is plural (8a)
- the first conjunct is plural, the second one is singular (8b)

| (8) a. bol'sh-oj | stol | i | malen'k-ie | stol-y 'one big and some small tables' |
| :---: | :--- | :--- | :--- | :--- | :--- |
| big-SG.NOM | table.SG.NOM | and | small-PL.NOM | table-PL.NOM |
| b. bol'sh-ie | stol-y | i | malen'k-ij | stol 'some big and one small table' |
| big-PL.NOM | table-PL.NOM | and | small-SG.NOM | table.SG.NOM |

- The same acceptability of the sentences with the number match and with the number mismatch $\rightarrow$ the number feature conflict allows phonological deletion / may be resolved.
- The low acceptability of the constructions with the number feature mismatch $\rightarrow$ the conflict of the number features blocks phonological deletion / cannot be resolved.


## The mismatch and the lack of number feature conflict

Small numerals (two, three, four) - Gen SG, numberless form (Pesetsky 2013)
Big numerals - Gen PL [-singular]

- both conjuncts with small numerals (9a) or both with big numerals (9b)
(9) a. dva bol'sh-ih stot-a i tri malen'kih stol-a 'two big and three small tables'

| two big-PL.GEN table-PAUC and three small-PL.GEN table-PAUC | shen |  |  |  |
| :--- | :--- | :--- | :--- | :--- |
| b. pyat'bol'sh-ih stol-ov | i | shest' | malen'k-ih stol-ov | 'five big and six small tables' |

- the first conjunct with a small numeral, the second one with a big numeral (10a)
- the first conjunct with a big numeral, the second one with a small numeral (10b)
(10) a. dva bol'shih stola i shest' malen'kih stolov 'two big and six small tables' $\begin{array}{llllll}\text { two big-PL.GEN table-PAUC } & \text { and } & \text { six } & \text { small-PL.GEN } & \text { table-PL.GEN } & \\ \text { pyat'bol'shih stolow } & i & \text { tri } & \text { malen'kih } & \text { stola } & \text { 'five big and three small tables' }\end{array}$ five big-PL.GEN table-PL.GEN and three small-PL.GEN table-PAUC
- The phrases with different numeral types are coordinated $\rightarrow$ the number forms of the nouns differ but the number features do not conflict since one noun is numberless.
- The comparison of the coordinated constructions with same numerals and with different numerals $\rightarrow$ specify the identity condition: whether the number feature must match or must not conflict.


## The possibility of the case feature conflict

- The coordination of a noun phrase and a numeral phrase with a big numeral
(11)

| a. pyat' bol'shih stolow | i | malen'kie | stoly |  |
| :--- | :--- | :--- | :--- | :--- |
| five big-PL.GEN table-PL.GEN | and | small-PL.NOM | table-PL.NOM |  |
| 'five big and some small tables' |  |  |  |  |
| b. bol'shie stoly | i | shest' | malen'kih | stolov |
| big-PL.NOM table-PL.NOM | and | six | small-PL.GEN | table-PL.GEN |

'some big and six small tables'

- Both conjuncts are plural $\rightarrow$ the number feature identity
- The conjunct without numeral bears external case while the one with numeral receives genitive $\rightarrow$ the case features mismatch.
- The influence of the case feature conflict on the acceptability.


## Experimental study

Method: acceptability judgments (Likert scale, 1-7), self-paced reading
Fractional factorial design $(2 \times 2 \times 2+2)$ :

- conjuncts number (same / different)
- second conjunct number (singular (paucal) / plural)
- numeral in the second conjunct (with numeral / no numeral)
- 2 separate conditions: plural second conjunct, conjuncts number differs in numeral (with or without numeral in the second conjunct)
97 participants (Toloka AI), after removing outliers 85 answers
Statistical analysis was conducted with linear mixed models
The example of a stimulus :

```
(12) Anton polozhil krasn-yj
Anton put.PST.sG red-sG.ACC
\begin{tabular}{ll} 
i & zelen- \(\mathbf{y j}\) \\
and & green-SG.ACC
\end{tabular}
```

karandash<br>pencil.sG.Acc

- Stimulus pattern:

Proper_Name Verb (Numeral) Adjective \& (Numeral) Adjective Noun Prepositional_Prase

## Example of an experimental block

|  | Conjuncts number | Second conjunct <br> number | Numeral in the <br> second conjunct | Glossed example |
| :--- | :--- | :--- | :--- | :--- |
| a. | same | singular (paucal) | no numeral | red-SG.ACC and green-SG.ACC pencil.SG.ACC |
| b. | same | plural | no numeral | red-PL.ACC and green-PL.ACC pencil.PL.ACC |
| c. | different | singular (paucal) | no numeral | red-PL.ACC and green-SG.ACC pencil.SG.ACC |
| d. | different | plural | no numeral | red-SG.ACC and green-PL.ACC pencil.PL.ACC |
| e. | same | singular (paucal) | with numeral | two.ACC red-PL.ACC and three.ACC green-PL.ACC pencil.SG.ACC |
| f. | same | plural | with numeral | five.ACC red-PL.ACC and six.ACC green-PL.ACC pencil.PL.ACC |
| g. | different | singular (paucal) | with numeral | five.ACC red-PL.ACC and three.ACC green-PL.ACC pencil.SG.ACC |
| h. | different | plural | with numeral | two.ACC red-PL.ACC and six.ACC green-PL.ACC pencil.PL.ACC |
| i. | different in numeral | plural | no numeral | five.ACC red-PL.ACC and green-PL.ACC pencil.PL.ACC |
| j. | different in numeral | plural | with numeral | red-PL.ACC and six.ACC green-PL.ACC pencil.PL.ACC |

## Mean acceptability scores for fillers and stimuli

## Coordination of noun phrases:

- the number feature match is more acceptable, than the number feature conflict (13a >13c, 13b >13d)
- plural second conjunct is more acceptable, than singular (13b > 13a, 13d > 13c)
[The number of the second conjunct and the presence of a numeral in the second conjunct for stimuli]
[Singular without
numeral]
[Plural without numeral]
[Plural
with numeral]
[Paucal with numeral]
[Singular without numeral]
(13) a.red-sG.ACC and green-SG.ACC pencil.sG.ACC
b. red-PL.ACC and green-PL.ACC pencil.PL.ACC
c. red-PL.ACC and green-SG.ACC pencil.sG.ACC
d. red-SG.ACC and green-PL.ACC pencil.PL.ACC


The number The number of conjuncts matches] of conjuncts varies] in the presence of the numeral]
[Grammatical] [Ungrammatical]

## Mean acceptability scores for fillers and stimuli

## Coordination of numeral phrases:

- the numeral type (mis)match does not influence the acceptability
(13e =13g, 13f = 13h )
- the paucal and the plural second conjunct are equally acceptable (13e =13f, 13g = 13h $)$
(13) e. two.ACC red-PL.ACC and three.ACC green-PL.ACC pencil.sG.ACC
f. five.ACC red-PL.ACC and six.ACC green-PL.ACC pencil.PL.ACC
g. five.ACC red-PL.ACC and three.ACC green-PL.ACC pencil.SG.ACC
h. two.ACC red-PL.ACC and six.ACC green-PL.ACC pencil.PL.ACC

[Grammatical] [Ungrammatical]
[The number [The number of conjuncts matches] of conjuncts varies] of the numeral]
[The number of the second conjunct and the presence of a numeral in the second conjunct for stimuli]
-.ー・•
[Singular without
numeral]
[Plural
with numeral]
[Paucal with numeral]

Singular without numeral]
[Plural without numeral]

## Mean acceptability scores for fillers and stimuli

## Number features match:

- small numerals increase the acceptability (13e > 13a)
- big numerals do not influence the acceptability ( $13 \mathrm{~b}=13 \mathrm{f}$ )


## Number features mismatch:

- the presence of the numerals increases the acceptability (13g > 13c, 13h > 13d)
(13) a.red-SG.ACC and green-SG.ACC pencil.SG.ACC
b. red-PL.ACC and green-PL.ACC pencil.PL.ACC
c. red-PL.ACC and green-SG.ACC pencil.SG.ACC
d. red-SG.ACC and green-PL.ACC pencil.PL.ACC
e. two.ACC red-PL.ACC and three.ACC green-PL.ACC pencil.SG.ACC
f. five.ACC red-PL.ACC and six.ACC green-PL.ACC pencil.PL.ACC
g. five.ACC red-PL.ACC and three.ACC green-PL.ACC pencil.sG.ACC
h. two.ACC red-PL.ACC and six.ACC green-PL.ACC pencil.PL.ACC

[Grammatical] [Ungrammatical]

The number -The number of conjuncts matches] of conjuncts varies]

The difference in the presence of the numeral]
[The number of the second conjunct and the presence of a numeral in the second conjunct for stimuli]
-.ー・-
[Singular without
numeral]

Paucal with numeral

Plural
with numeral]

## Mean acceptability scores for fillers and stimuli

Coordination of a noun phrase and a numeral phrase:

- numeral in the second conjunct is more acceptable (13j > 13i)
- less acceptable, than coordination of noun phrases and of numeral phrases
$(13 b>13 i, 13 d>13 i, 13 f>13 j, 13 h>13 j)$

[^0]
[Grammatical]
[Ungrammatical]
[The number [The number of conjuncts matches] of conjuncts varies]
[The difference in the presence of the numeral]
[The number of the second conjunct and the presence of a numeral in the second conjunct for stimuli]

|  | _ | - |  |
| :---: | :---: | :---: | :---: |
| [Singular without numeral] | [Plural without numeral] | [Paucal with numeral] | [Plural with numeral] |

## Sentence split for self-paced reading

|  | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Without numeral | Proper name | Verb | Adjective $_{1}$ | \& Adjective ${ }_{2}$ | Noun | Prepositional phrase |  |  |  |  |
| Numeral in $1^{\text {st }}$ conjunct |  |  | Numeral $_{1}$ | Adjective $_{1}$ | \& Adjective ${ }_{2}$ | Noun | Prepositional phrase |  |  |  |
| Numeral in $2^{\text {nd }}$ conjunct |  |  | Adjective $_{1}$ | \& Numeral $_{1}$ | Adjective $_{2}$ | Noun |  |  |  |  |
| Two numerals |  |  | Numeral $_{1}$ | Adjective $_{1}$ | \& ${ }^{\text {Numeral }}{ }_{2}$ | Adjective $_{2}$ | Noun | Prepositional phrase |  |  |

## Mean reading time for noun

Coordination of noun phrases:

- number mismatch cause reading delay

Coordination of numeral phrases:

- equal reading time for the same and for the different numeral type



## Mean reading time for noun

Coordination of noun phrases vs. coordination of numeral phrases:

- no reading delay for matching number
- reading delay on noun in noun phrases with mismatching number



## Mean reading time for noun

Coordination of a noun phrase and a numeral phrase:

- reading time is more than for number feature match but equal to number feature mismatch



## General experimental results

- Number features match: equal acceptability of the noun phrase coordination and the numeral phrase coordination. No reading delay on the noun.
- Number features mismatch: the numeral phrases (small + big numerals) are more acceptable than the noun phrases (singular + plural). Reading delay on the noun in the noun phrases.
- Case feature mismatch: the lowest scores and the longest reading delays for the coordination of the noun phrase and the numeral phrase.


## Discussion

- Number feature mismatch in the noun phrase is unacceptable: one conjunct is singular [+SINGULAR], the other one is plural [-SINGULAR].
- Number feature mismatch in the numeral phrase is acceptable: conjunct with a big numeral - plural feature [-SINGULAR], with a small numeral - numberless.
$>$ The absence of the number feature conflict rather than the number feature identity is required
- Coordination of a noun phrase and a numeral phrase is unacceptable: number features match (plural), case features mismatch (external case vs. genitive).
> The case feature identity is required
- Ellipsis: the lack of the number feature conflict and the case identity for the elided and the spelled-out nouns are required.
- Multidominant structure: several feature values are unacceptable; given the lack of feature conflict, the value of the linearly closest conjunct is spelled-out.


## References

Abeillé, A., Crysmann, B., Shiraïshi, A. (2016). Syntactic mismatch in French peripheral ellipsis. Empirical issues in syntax and semantics, 11, 1-30.

Belyaev, O., Dalrymple, M., Lowe, J. (2015). Number mismatches in coordination: an LFG analysis. Proceedings of the LFG15 Conference. Butt M., King T. (eds.). CSLI Publications, 26-46.

Grosz, P. G. (2015). Movement and agreement in right-node-raising constructions. Syntax, 18(1), 1-38.
Kodzasov, S. V. (1987). Chislo v sochinitel'nykh konstruktsiyakh. [Number in coordinated constructions]. Modelirovanie yazykovoi deyatel'nosti v intellektual'nykh sistemakh Kibrik A.E, Narin'yani A.S. (eds.). Moscow: Nauka, 204-219.

Pesetsky, D. (2013). Russian Case Morphology and the Syntactic Categories. Cambridge, MA: MIT Press.
Shen, Z. (2018). Feature arithmetic in the nominal domain. Ph.D. dis. University of Connecticut, Storrs, CT.
Studenikina, K. (2022). Does the number morphology determine the agreement strategy?. ExLing 2022, 161-164.
Testelets, Ya. G. (2011). Padezh kak priznak identichnosti pri ellipsise v russkom yazyke [Case as a characteristic of identity under ellipsis in Russian]. Komp'yuternaya lingvistika i intellektual'nye tekhnologii: In Proceedings from Unternational Conference «Dialog». Moscow: RSUH, 656-667.


[^0]:    (13) i. five.ACC red-PL.ACC and green-PL.ACC pencil.PL.ACC
    j. red-PL.ACC and six.ACC green-PL.ACC pencil.PL.ACC

