# Symmetricity, postposition, and hydras: Experimental evidence against ATB-analysis of partial agreement in Russian\*

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### Roadmap

- 1. Russian: baseline
- 2. Data
- 3. Possible approaches
- 4. Predictions of ATB-approach
  - a. Predicate position
  - b. Predicate symmetricity
  - c. Hydras
- 5. Experimental study 1
- 6. Experimental study 2
- 7. Discussion

### Russian: baseline

- Finite verbs agree with the nominative subject in number and person / gender.

(1) Ručk-a lež-it na stole.
pen-NOM.SG lie-PRS.SG on the table
'The pen lies on the table.'

- Word order is relatively free. What is important for us today, both SV and VS orders are possible (the choice depends on the information structure).
- (2) a. *Ručka ležit na stole.* b. *Na stole ležit ručka.* pen lies on the table on the table

'The pen lies on the table.'

### Russian: baseline

- Relative clauses are formed using relativizer *kotor-yj* 'which-M.SG.NOM' which agrees with the clause head in gender and number.
- (3) ručk-a i karandaš, kotor-ye ja prinesl-a pen.F-SG.NOM & pencil.M.SG.NOM which-PL.ACC I.NOM bring.PST-SG 'a pen and a pencil that I brought'
- (4) ručk-a i karandaš, kotor-yj ja prinesl-a pen.F-SG.NOM & pencil.M.SG.NOM which-M.SG.ACC I.NOM bring.PST-SG

'a pen and <u>a pencil</u> that I brought'

### Partial agreement in Russian: data

When the subject is coordinated, two strategies are possible:

1. Full agreement (FA)

Controlled by the coordinated DP, PL marker on the verb:

(5) Na stole lež-**at** [ručk-a i karandaš].
on the table lie-PRS.**PL** pen-SG.NOM & pencil.SG.NOM

'A pen and a pencil lie on the table.'

### Partial agreement in Russian: data

- 2. <u>Partial agreement</u> (PA; or conjunct-sensitive, first conjunct agreement)
  Seemingly controlled by only one conjunct, SG marker if this conjunct is SG:
  - (6) Na stole lež-it [ručk-a] i karandaš.
     on the table lie-PRS.SG pen-SG.NOM & pencil.SG.NOM
     'A pen and a pencil lie on the table.'
  - There is no semantic difference between the strategies, the variation is morphological.
  - PA is reported in many other languages (Krejci, 2020, 1): e.g. Brazilian Portuguese, Dutch, English, Hindi-Urdu, Irish, Serbo-Croatian.

There are two ways of analyzing PA in Russian:

### Analytical option 1

- Sannikov, 2008; Bošković, 2010; Pekelis, 2013
- The differences between FA and PA are derived solely by agreement controller choice.
- The syntactic structures of sentences with FA and PA are equal.
- Coordination occurs on the level of DPs.
- PA: linearly first / structurally higher DP controls agreement.

### Analytical option 2

- Krejci, 2020
- The differences between FA and PA are derived by the difference in syntactic structure.
- FA: coordination at DP-level
- PA: coordination at VP-level, two VPs with identical V-heads, V-heads are ATB\*-moved to Asp => only one V is pronounced

<sup>\*</sup>ATB = Across-the-board movement — matching elements in different conjuncts are moved out simultaneously

- (7) Na stole lež-**it** ručk-a i karandaš.
  on the table lie-PRS.**SG** pen-SG.NOM & pencil.SG.NOM
  'A pen and a pencil lie on the table.'
- (8) Na stole  $[_{TP} [_{AspP} [_{VP0} [_{VP1} le\check{z}-it \ ru\check{c}k-a] [_{\&P} i [_{VP2} le\check{z}-it \ karandaš]]]]].$ Na stole  $[_{TP} [_{AspP} \underline{le\check{z}-it} [_{VP0} [_{VP1} \underline{le\check{z}-it} \ ru\check{c}k-a] [_{\&P} i [_{VP2} \underline{le\check{z}-it} \ karandaš]]]]].$

- T-head agrees with the structurally higher DP.
- EPP triggers movement of DP to Spec,TP, but it is covert.

Krejci's analysis makes predictions about ungrammaticality of PA in these contexts, among others:

- 1. when the verb follows the subject;
- 2. when the verb is a symmetrical predicate;
- 3. when the coordinated subject is the head of a relative clause.

- Under Krejci's analysis, the ungrammaticality originates from structural factors.
- Proponents of the first approach (Sannikov, 2008; Pekelis, 2013) argue that PA is degraded in contexts 1 and 2 as well. However, their explanation uses semantic factors, which are less strict and could be more easily adjusted.
- Further we will focus on Krejci's predictions and prove if they conform to experimental data.

### **Factors: verb position**

ATB-analysis implies that PA is impossible, if the verb follows the subject:

- V-heads are moved to a structurally higher position (Asp), which results in that V linearly precedes initial coordinated VP, as Russian is a right-branching language.
- (9) Na stole  $[_{TP} [_{AspP} \underline{le\check{z}-it} [_{VP0} [_{VP1} \underline{le\check{z}-it} ru\check{c}k-a] [_{\&P} i [_{VP2} \underline{le\check{z}-it} karandaš]]]]]$ 
  - Coordinated subject cannot overtly move to Spec,TP and linearly precede V, as in fact it is not a constituent.

=> Orders like *pen-SG.NOM & pencil.SG.NOM lie-PRS.3.SG* cannot be derived.

# **Factors: symmetricity**

Symmetrical predicates have at least two arguments bearing the same theta-role: e.g. *sravnivat'sja* 'to be compared to each other', *slivat'sja* 'to merge'.

- (10) V novom kurse sovmeŝa-et-sja teori-ja i praktik-a.
  In the new course combine-PRS.SG-REFL theory-SG.NOM & practice-SG.NOM
  'Theory and practice are combined in the new course.'
  - predicted \*

# **Factors: symmetricity**

This prediction is explained by the fact that there is only <u>one</u> argument in each of the conjoined symmetrical VPs.

=> Locality of Selection requirement is violated, cf. (12) without coordination.

- (11) V novom kurse  $[_{TP}[_{AspP}]$  sovmeŝaetsja  $[_{VP0}[_{VP1}]$  sovmeŝaetsja teorija]  $[_{RP}[_{VP2}]$  sovmeŝaetsja praktika]]]]].
  - 'Theory and practice are combined in the new course.'

- (12) \*V novom kurse [ $_{VP}$  sovmeŝaetsja teorija].
  - \*'Theory is combined in the new course.'

# **Factors:** hydras

Relative clauses with coordinated heads are called *hydras* (see e.g. Link, 1984; Bobaljik, 2017).

Krejci argues that PA is impossible with heads of hydras:

- Linear coordinate subject in sentences with PA does not form a constituent:
   (11) Na stole [<sub>TP</sub> [<sub>ASDP</sub> <u>lež-it</u> [<sub>VP0</sub> [<sub>VP1</sub> <del>lež-it</del> ručk-a] [<sub>&P</sub> i [<sub>VP2</sub> <del>lež-it</del> karandaš]]]]].
- 2. DPs cannot be a head of a relative clause unless they form a constituent=> ungrammaticality

The second assumption is actually not true, we will talk about it later.

### **Factors:** hydras

(13) 'The scarf and the mitten that mother knit sank in the pond.' (Krejci 2020, (532))

a. *V prudu utonul-i šarf i varežk-a,* In the pond sink.PST-**PL** scarf.SG.NOM & mitten-SG.NOM

*kotor-ye mat' svjazal-a.* which-PL.ACC mother.SG.NOM knit.PST-SG.

— FA, predicted **OK** 

b. *V prudu* utonul šarf i varežk-a, ln the pond sink.PST.M.**SG** scarf.SG.NOM & mitten-SG.NOM

kotor-ye mat' svjazal-a. which-PL.ACC mother.SG.NOM knit.PST-SG.

— PA, predicted \*

# **Experimental study**

The data in (Krejci, 2020), as well as in the works of the other approach, are mostly based on introspection and contradict some corpus data:

- (14) Inogda posle glikolevogo pilinga voznikaet otek i krasnota, kotorye dolžny sojti v tečenie sutok. (RNC)
- ... voznika-et otek i krasnot-a, kotor-ye ... emerge-PRS.SG swelling.SG.NOM & redness-SG.NOM which-PL.NOM

'Sometimes swelling and redness, which should subside within 24 hours, emerge after a glycolic peel'.

PA with head of hydra, expected \*

### **Experimental study**

We conducted two linguistic experiments to test these judgements on a sufficient sample of Russian native speakers.

### **Experiment 1**

- Verb follows the subject => PA is ungrammatical
- 2. Verb is a symmetrical predicate => PA is ungrammatical

### **Experiment 2**

3. Coordinated subject is the head of a relative clause => PA is ungrammatical

# **Experiment 1**

### Exp. 1: variables

#### **Independent variables**

- 1) Agreement strategy: PL (FA) / SG (PA)
- Predicate symmetricity: SYM / NONSYM
- 3) Predicate position with respect to the subject:PRE / POST

### **Dependent variable**

Acceptability judgement on Likert scale 1–7

#### **Controlled variables**

- Word order
- Subjects are inanimate
- Each conjunct is in SG
- Verbs are in PRS
- Predicate: balanced decausative/ passive
- Conjunct gender: balanced matching / not matching
- 2\*2\*2\*4 = 32 stimuli, 32 fillers
- 4 training sentences
- Latin square

### Exp. 1: stimuli examples

(15) [NONSYM, PRE, SG]

Na staroj fotografii stira-et-sja lic-o i fon.
In the old photo erase-PRS.SG-REFL face-SG.NOM & background.SG.NOM

'The face and the background are erased in the old photo.'

(16) [SYM, POST, PL]

Lic-o i fon sliva-jut-sja na staroj fotografii. face-SG.NOM & background.SG.NOM merge-PRS.PL-REFL in the old photo

'The face and the background merge in the old photo.'

# **Exp. 1: ATB-analysis predictions**

[PL, NONSYM, PRE]	OK
[PL, NONSYM, POST]	OK
[PL, SYM, PRE]	OK
[PL, SYM, POST]	OK
[SG, NONSYM, PRE]	OK
[SG, NONSYM, POST]	*
[SG, SYM, POST]	*
[SG, SYM, PRE]	*

### Exp. 1: fillers

#### 32 fillers

- Grammatical and ungrammatical
- The structure resembles one of the stimuli
- Coordinated DP in object position
- Case mistakes in ungrammatical fillers

(17) Ljudi pokuSG-jut zolot-**u** i serebr-o v juvelirnom magazine. people buy-PRS.PL gold-SG.**DAT(!)** & silver-SG.ACC at the jewelry store 'People buy gold and silver at the jewelry store'.

### Exp. 1: data collection

- Distribution via crowdsourcing platform Toloka (<u>https://toloka.ai/</u>)
- 75 respondents (without outliers)
- List distribution:

### Sample characteristics:

- Age: 19–68, mean 37.93
- Gender: 27 F (36%), 47 M (63.7%),1 NA (1.3%)
- Native languages apart from Russian: English (4), Tatar (2), Ukrainian (1)
- With linguistic education: 4

# Exp. 1: statistic analysis

- The data were analyzed in R (methodology from Gerasimova, 2023).
- Linear mixed effects model for factor significance
   Model formula:

```
z-scores ~ 1 + agreement + position
+ agreement : position + symmetricity
+ (1 | sentence)
+ (1 + agreement + position | respondent)
```

- Tukey's multiple pairwise comparisons for difference in pairs of conditions
- Student's t-test for difference between stimuli and fillers

# Exp. 1: results

Linear mixed effects model summary

fixed effects	β	p-value
intercept	0.47	<0.001
symmetricity (SYM → NONSYM)	-0.06	0.131
position (PRE → POST)	-0.01	0.895
agreement (SG → PL)	-0.58	<0.001
agreement (SG → PL): position (PRE → POST)	0.36	<0.001

- Position of the predicate affects acceptability.
- Symmetricity does not.

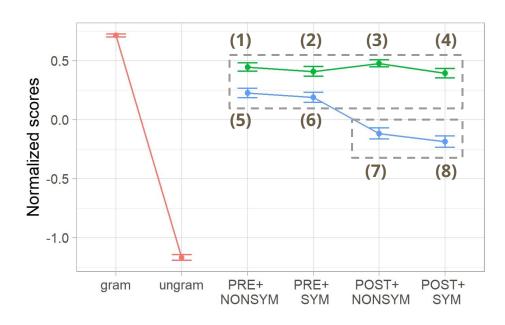
# Exp. 1: results

Tukey's multiple pairwise comparisons results

condition 1	condition 2	estimate	p-value
PL	SG	0.40	<0.001
POST + SG	PRE + SG	-0.35	<0.001
POST + PL	PRE + PL	0.01	0.999
POST + PL	POST + SG	0.58	<0.001
PRE + PL	PRE + SG	0.22	0.012

# Exp. 1: results

- No significant difference in the gray frames
- (6), (7) and (8) were predicted to be ungrammatical



Predicate features

Agreement - filler - PL - SG

### Exp. 1: conclusion

We tested these predictions of Krejci (2020) and got the following results:

- 1. Verb follows the subject => PA is ungrammatical
  - No: such sentences receive significantly higher grades, than ungrammatical fillers.
  - However, PA is graded significantly higher in preposition, than in postposition.
- 2. Verb is a symmetrical predicate => PA is ungrammatical
  - No: PA of symmetrical predicates is graded just as high, as PA of non-symmetrical ones.

# **Experiment 2**

We test the following prediction of Krejci (2020):

Coordinated subject is the head of a relative clause => PA is ungrammatical

- This prediction comes from the assumption that DPs cannot be a head of relative clause unless they form a constituent.

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Coordinated subject is the head of a relative clause => PA is ungrammatical

- This prediction comes from the assumption that DPs cannot be a head of relative clause unless they form a constituent.
- This is not true: head of split antecedent relative clause (SARC) consists of two separate DPs.
- See e.g. Perlmutter & Ross, 1970; Grosz, 2015; Conrod & Woo, 2018; Cinque, 2019; Citko, 2021

- (18) a. A man entered the room and a woman went out who were quite similar. (Perlmutter & Ross 1970: 350)
  - b.  $[v_{P1}]$  A man entered the room and  $[v_{P2}]$  a woman went out who were quite similar.
- (19) a. *Na stole sto-it stakan i lež-it salfetk-a,* On the table stand-PRS.SG glass.SG.NOM & lie-PRS.SG napkin-SG.NOM

kotor-ye Maš-a dostal-a iz škafa.

which-PL.ACC Masha-SG.NOM take.out.PST-SG from the cupboard

'On the table there stands a glass and lies a napkin, which Masha took out of the cupboard.'

b. ... [<sub>VP1</sub> stoit <u>stakan</u>] i [<sub>VP2</sub> ležit <u>salfetka</u>], kotorye ...

(20) PA, coordinated subject is a head of relative clause

```
Na stole lež-it knig-a i salfetk-a,
On the table lie-PRS.SG book-SG.NOM & napkin-SG.NOM

kotor-ye Maš-a dostal-a iz škafa.
which-PL.ACC Masha-SG.NOM take.out.PST-SG from the cupboard
```

'On the table there lies a book and a napkin, which Masha took out of the cupboard.'

### There are two analyses possible for sentences like (20):

- 1. Hydra, ATB-movement is impossible
- (21) ... ležit [kniga i salfetka], kotorye ... predicted \*
- 2. SARC, ATB-movement is possible
- (22) ... ležit [<del>ležit</del> kniga] i [<del>ležit</del> salfetka], kotorye ... predicted **OK**

- Consequently, whatever result we get, we will be able to account for it using a version of ATB-analysis.

- However, we can test Krejci's empirical generalization: hydras with PA in the matrix clause are ungrammatical.
- We can as well include 'unambiguous' hydras and SARCs in experimental design and see whether the configuration we are interested in groups with either of them.

### Exp. 2: variables

#### **Independent variables**

- 1) Agreement in matrix clause
  - 1. PL (FA)
  - 2. SG (PA)
  - 3. 2 different verbs in SG
- 2) Relative clause head
  - 1. 1 DP
  - 2. 2 DPs

#### **Dependent variables**

- 1) Acceptability judgement on Likert scale
- 1-7
- 2) {Reading time (self-paced reading task) of word *kotoryj* 'which'}

#### **Controlled variables**

- Word order
- Subjects are inanimate
- Each conjunct is in SG
- Verbs are in PRS
- *Kotoryj* 'which' in direct object position
- Gender of conjuncts does not match

- 3\*2\*4 = 24 stimuli, 24 fillers
- 4 training sentences
- Latin square

# Exp. 2: stimuli examples

#### (23) [PL, 1 DP]

V vannoj sohn-ut kurtk-a i šarf, In the bath dry-PRS.PL jacket-SG.NOM & scarf.SG.NOM

kotor-yj Miš-a ispačkal v grjazi. which-SG.ACC Misha-SG.NOM soil.PST.SG in the mud

'In the bathroom, the jacket and the scarf that Misha soiled in the mud are drying.'

### (24) [2 verbs, 2 DP]

V vannoj sohn-et kurtk-a i otmoka-et šarf, In the bath dry-PRS.SG jacket-SG.NOM & soak-PRS.SG scarf.SG.NOM

kotor-ye Miš-a ispačkal v grjazi. which-PL.ACC Misha-SG.NOM soil.PST.SG in the mud

'In the bathroom, the jacket is drying and scarf is soaking, that Misha soiled in the mud.'

# **Exp. 2: ATB-analysis predictions**

[PL, 2 DPs]	OK —	FA, both conjuncts are the heads of RC (hydra)
[SG, 2 DPs]	*	PA, both conjuncts are the heads of RC (hydra / SARC?)
[2 verbs, 2 DPs]	NA —	separate VPs, both conjuncts are the heads of RC (SARC)
[PL, 1 DP]	NA —	FA, only one conjunct is the head of RC
[SG, 1 DP]	NA —	PA, only one conjunct is the head of RC
[2 verbs, 1 DP]	NA —	separate VPs, only one conjunct is the head of RC

# Exp. 2: fillers

#### 24 fillers

- Grammatical and ungrammatical
- The structure resembles one of the stimuli
- Coordination in embedded clause
- Case mistakes in ungrammatical fillers
- (25) *Po radio* igra-et pesn-ja,
  On the radio play-PRS.SG song-SG.NOM

kotor-uju Žann-a i Ljud-a vyučil-i v molodosti. which-SG.ACC Zhanna-SG.NOM & Lyuda-SG.NOM learn.PST-PL when young

'A song that Zhanna and Lyuda learned when they were young is playing on the radio.'

# Exp. 2: data collection

- Distribution via crowdsourcing platform Toloka (<a href="https://toloka.ai/">https://toloka.ai/</a>)
- 84 respondents (without outliers)
- List distribution:

### Sample characteristics:

- Age: 18–74, mean 39.4
- Gender: 40 F (47.6%), 44 M (52.4%)
- Native languages apart from Russian: English (2), Ukrainian (1)
- With linguistic education: 5

# Exp. 2: statistic analysis

- The data were analyzed in R (methodology from Gerasimova, 2023).
- Linear mixed effects model for factor significance
   Model formula:

```
zscores ~ 1 + head + agreement + head:agreement
+ (1 | sentence)
+ (1 + head | respondent)
```

- Tukey's multiple pairwise comparisons for difference in pairs of conditions
- Student's t-test for difference between stimuli and fillers

# Exp. 2: results

Linear mixed effects model summary

fixed effects	β	p-value
intercept	0.23	<0.001
head (2 DPs → 1 DP)	0.13	0.13
agreement (PL → 2 verbs)	-0.32	<0.001
agreement (SG → 2 verbs)	-0.34	<0.001
head (2 DPs → 1 DP) : agreement (PL → 2 verbs)	0.36	<0.001
head (2 DPs → 1 DP) : agreement (SG → 2 verbs)	0.19	0.06

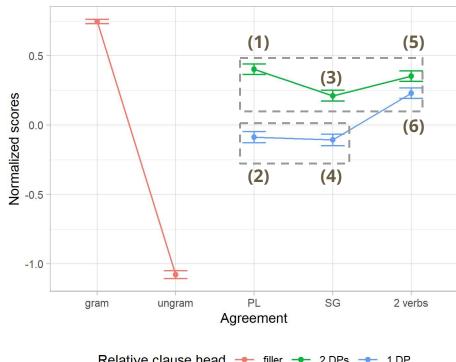
# Exp. 2: results

Tukey's multiple pairwise comparisons results

condition 1	condition 2	estimate	p-value
2 verbs, 1 DP	SG, 1 DP	0.341	0.0001
2 verbs, 1 DP	PL, 1 DP	0.318	0.0004
PL, 1 DP	SG, 1 DP	0.024	0.9995
2 verbs, 2 DPs	SG, 2 DPs	0.148	0.3326
2 verbs, 2 DPs	PL, 2 DPs	-0.044	0.9906
PL, 2 DPs	SG, 2 DPs	0.192	0.0971
2 verbs, 1 DP	2 verbs, 2 DPs	-0.125	0.6619
SG, 1 DP	SG, 2 DPs	-0.318	0.0025
PL, 1 DP	PL, 2 DPs	-0.487	<0.0001

# Exp. 2: results

- No significant difference in the gray frames
- **(3)** was predicted to be ungrammatical, and (1) grammatical
- No evidence in favor of either analysis of (3) — it groups with both (1) and (5)
- Unexpected results for (2) and (4)



Relative clause head - filler - 2 DPs - 1 DP

## Exp. 2: conclusion

We tested the prediction of Krejci (2020):

Coordinated subject is the head of a relative clause => PA is ungrammatical

- According to our data, this prediction does not hold.
- Such sentences are just as acceptable as ones with FA or SARC.

## **Discussion**

According to the results of our study, the constraints, following from ATB-analysis of partial agreement in Russian (Krejci, 2020) are...

- ... not met
  - → PA of symmetrical predicates
  - → PA with coordinated heads of relative clauses
- ... not fully met
  - → although PA in postposition is indeed graded lower than in preposition, it is still more acceptable than ungrammatical fillers

## **Discussion**

- We argue that the analytical option, implying that coordination occurs on the level of DPs regardless of agreement strategy, is more empirically adequate.
- The fact of higher acceptability of PA in preposition will have to be accounted for differently.
- For example, Pekelis (2013) proposes a psycholinguistic explanation: when the predicate is in postposition to the coordinated subject, the speaker has already pronounced the semantically PL subject. Thus, they are more likely to choose the PL agreement strategy.

# **Reading time**

- Let us compare [PL, 2 DPs] and [SG, 2 DPs]
- (26) 'In the bathroom, the jacket and the scarf that Misha soiled in the mud are drying.'

```
a. V vannoj sohn-ut kurtk-a i šarf,
In the bath dry-PRS.PL jacket-SG.NOM & scarf.SG.NOM
kotor-ye Miš-a ispačkal v grjazi.
which-PL.ACC Misha-SG.NOM soil.PST.SG in the mud
```

#### — predicted **OK**

```
b. V vannoj sohn-et kurtk-a i šarf,
In the bath dry-PRS.SG jacket-SG.NOM & scarf.SG.NOM
kotor-ye Miš-a ispačkal v grjazi.
which-PL.ACC Misha-SG.NOM soil.PST.SG in the mud
```

— predicted \*

# **Reading time**

- If [SG, 2 DPs] is indeed unrgammatical, the reader can understand this fact only when they are reading *kotorye* 'which.PL'
- At this point, the reader understands that PA occurred with a coordinated head of a relative clause.
- Consequently, we are expecting longer reading time of *kotorye* 'which.PL' in predictably ungrammatical [SG, 2 DPs] sentences:



# **Reading time: results**

#### Model formula:

```
readtime ~ 1 + head + agreement
+ agreement:head
+ (1 + head | respondent)
+ (1 | sentence)
```

fixed effects	β	p-value
intercept	668.83	<0.001
agreement (PL → 2 verbs)	73.34	0.006
agreement (SG → 2 verbs)	80.82	0.003
head (2 DPs → 1 DP)	37.42	0.239
agreement (PL⊋ 2 verbs) : head (2 DPs ⊋ 1 DP)	-127.36	<0.001
agreement (SG → 2 verbs) : head (2 DPs → 1 DP)	-65.19	0.083

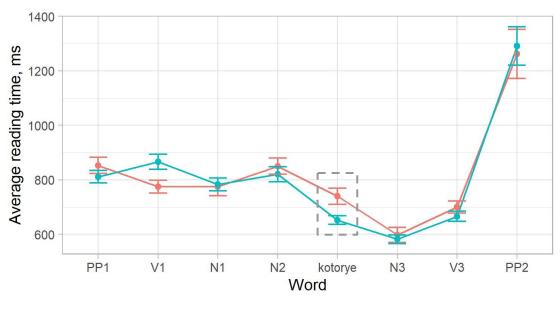
# Reading time: results

Tukey's multiple pairwise comparisons results

condition 1	condition 2	estimate	p-value
2 verbs, 1 DP	SG, 1 DP	-73.34	0.0666
2 verbs, 1 DP	PL, 1 DP	80.83	0.0308
PL, 1 DP	SG, 1 DP	-7.49	0.9997
2 verbs, 2 DPs	SG, 2 DPs	-15.64	0.9913
2 verbs, 2 DPs	PL, 2 DPs	54.02	0.3200
PL, 2 DPs	SG, 2 DPs	-69.66	0.0946
2 verbs, 1 DP	2 verbs, 2 DPs	-37.42	0.8449
SG, 1 DP	SG, 2 DPs	27.76	0.9516
PL, 1 DP	PL, 2 DPs	89.94	0.0568

# Reading time: results

- [PL, 2 DPs] vs. [SG, 2 DPs]
- No significant difference
- This goes in line with the acceptability judgement results



Condition - 1 DP, PA - 2 DPs, PA

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